

Warmup

Attributes:

Author,
Address (of a person),
Title (of a book),
Genre (of a book),
Pages (in a book).

Suppose we have the FDs:

Author \rightarrow Address
Title \rightarrow Genre Pages

Decompose into BCNF.

- There are other types of decomposition besides BCNF. Why should we use this one and not another?
- We'd like a decomposition to:
 1. eliminate anomalies
 2. let us recover the original relation with a join (lossless join property)
 3. let us recover the original FDs when recovering the original relation (dependency preservation property)
- BCNF decomposition gives us 1 & 2, but not 3.

- BCNF decomposition guarantees:
 - There are no redundancy, insertion, update, or deletion anomalies.
 - We can recover the original relation with a *natural join*. (*lossless join property*)
- However, we might lose some of the original FDs in the natural join.

Suppose we want to store information about the closest restaurant to various people that serves a certain type of cuisine.

Name	Type	Closest Restaurant of Type
Alice	BBQ	Cozy Corner
Alice	Thai	Bhan Thai
Bob	Pizza	Broadway Pizza
Charlie	Doughnuts	Gibson's Donuts
Charlie	Thai	Bangkok Alley
Charlie	BBQ	Cozy Corner

What are FDs/keys? Is this in BCNF?

Name	Closest
Alice	Cozy Corner
Alice	Bhan Thai
Bob	Broadway Pizza
Charlie	Donald's Donuts
Charlie	Bangkok Alley
Charlie	Cozy Corner

Restaurant	Type
Cozy Corner	BBQ
Bhan Thai	Thai
Broadway Pizza	Pizza
Donald's Donuts	Doughnuts
Bangkok Alley	Thai

Book's example

- Traveling shows:
 - Store theater names, the cities they are in, and the title of the show playing.
 - A show never plays at more than one theater per city.
- theater -> city
- title city -> theater

3rd Normal Form (3NF)

- Allows for lossless joins and dependency preservation.
- Does not fix all anomalies.
- 3NF is a weaker condition than BCNF (anything in BCNF is automatically in 3NF).

3rd Normal Form (3NF)

- A relation R is in 3NF iff for every nontrivial FD $A_1...A_n \rightarrow B$ for R, one of the following is true:
 - $A_1...A_n$ is a superkey for R (BCNF test)
 - Each B is a ***prime*** attribute (an attribute in *some* key for R)

Example

- Say we have $R(\text{Course, Dept, Prof, Semester, Year})$
- $R(C, D, P, S, Y)$ has FDs
 - $PSY \rightarrow CD$
 - $CD \rightarrow S$
- Keys are $\{P, S, Y\}$ and $\{C, D, P, Y\}$
- $CD \rightarrow S$ violates BCNF
- However, R is in 3NF because S is part of a key

3NF Decomposition

- Given a relation R and set F of functional dependencies:
 1. Find a minimal basis, G , for F .
 2. For each FD $X \rightarrow A$ in G , use XA as the schema of one of the relations in the decomposition.
 3. If none of the sets of schemas from Step 2 is a superkey for R , add another relation whose schema is a key for R .

Example

- Example:

$R(A, B, C)$

$F: \{A \rightarrow B, C \rightarrow B\}$

- What is the minimal basis set of FDs?
- What is the decomposition to 3NF?

More redundancy?

Course	Textbook	Prof
ENGL 101	Writing for Dummies	Smith
ENGL 101	Wikipedia Is Not a Primary Source	Smith
ENGL 101	Writing for Dummies	Jones
ENGL 101	Wikipedia Is Not a Primary Source	Jones
COMP 142	How to Program in C++	Smith
COMP 142	How to Program in C++	Jones

Every professor always uses the same set of books.

Is this in BCNF?

- Redundancies can still arise in relations that conform to BCNF.
- Occurs when a single table tries to contain two (or more) many-one (or many-many) relationships.

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Multivalued dependencies

- A **MVD** is a constraint that two sets of attributes are **independent** of each other.
- A MVD $A_1 \dots A_n \twoheadrightarrow B_1 \dots B_m$ holds in R if in every instance of R :
 - for every pair of tuples t and u that agree on all the A s, we can find a tuple v in R that agrees
 - with both t and u on the A s
 - with t on the B s
 - with u on all those attributes of R that are not A s or B s
- In other words, the information in $A_1 \dots A_n$ determines the values of the set of tuples for $B_1 \dots B_m$ **and** those tuples are independent of any other attributes in the relation.

- Consider a table with actors/actresses, their street addresses with cities/states/zips, and the movies they've been in (title/year).

- Consider a MVD $A_1 \dots A_n \twoheadrightarrow B_1 \dots B_m$.
- Call attributes not in A 's or B 's the C 's.
- This MVD holds in R if:
 - whenever we have two tuples of R that agree on the A 's but differ on the B 's and C 's we should be able to find (or create) two new tuples with the same A 's but swapped B 's and C 's.
- Equivalently:
 - If knowing $A_1 \dots A_n$ determines a unique set of tuples for $B_1 \dots B_m$ that is independent of the C 's.

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- Course →→ Textbook is an MVD
- What else?

FDs vs MVDs

- A FD $A \rightarrow B$ says "Each A determines a unique B"
 - or, "Each A determines 0 or 1 Bs."
- A MVD $A \twoheadrightarrow B$ says "Each A determines a set of Bs ***where the Bs are independent of anything in the relation that is not an A or a B.***"

Rules for MVDs

- **FD promotion:** Every FD $A \rightarrow B$ is an MD
 $A \rightarrow \rightarrow B$
- **Trivial MDs:**
 1. If $A \rightarrow \rightarrow B$, then $A \rightarrow \rightarrow AB$
 2. If A_1, A_2, \dots, A_n and B_1, B_2, \dots, B_m make up *all* the attributes of a relation, then $A_1, A_2, \dots, A_n \rightarrow \rightarrow B_1, B_2, \dots, B_m$ holds in the relation

- **Transitive rule:** Given $A \rightarrow \rightarrow B$ and $B \rightarrow \rightarrow C$, we can infer $A \rightarrow \rightarrow C$.
- **Complementation rule:** if we know $A \rightarrow \rightarrow B$, then we know $A \rightarrow \rightarrow C$, where all the Cs are attributes not among the As or Bs.

- Note that the **splitting rule does not hold!** If $A \rightarrow \rightarrow BC$, then it is not true that $A \rightarrow \rightarrow B$ and $A \rightarrow \rightarrow C$.

Fourth Normal Form (4NF)

- "Stronger" than BCNF.
- A relation R is in 4NF iff:
 - for all MVDs $A_1 \dots A_n \twoheadrightarrow B_1 \dots B_m$,
 $\{A_1, \dots, A_n\}$ is a superkey of R.

4NF Decomposition

- Consider relation R with set of attributes X
- $A_1 A_2 \dots A_n \twoheadrightarrow B_1 B_2 \dots B_m$ violates 4NF
- Decompose R into two relations whose attributes are:
 1. The As and Bs together, i.e., $\{A_1 A_2 \dots A_n, B_1, B_2, \dots, B_m\}$
 2. All the attributes of R which are not Bs, i.e. $X - \{B_1, B_2 \dots, B_m\}$
 3. Recursively check if the new relations are in 4NF and repeat

Example

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- Course ->-> Textbook
- Course ->-> Professor

Example

Drinkers (name, addr, phones, beer)

- FD: name \rightarrow addr
- Nontrivial MVD' s:
name \twoheadrightarrow phone and
name \twoheadrightarrow beer.
- Only key: {name, phones, beer}
- All three dependencies above violate 4NF.
- Successive decomposition yields 4NF relations:
D1 (name, addr)
D2 (name, phones)
D3 (name, beer)

Relationships Among Normal Forms

- 4NF implies BCNF, i.e., if a relation is in 4NF, it is also in BCNF
- BCNF implies 3NF, i.e., if a relation is in BCNF, it is also in 3NF

Property	3NF	BCNF	4NF
Eliminate redundancy due to FDs	Maybe	Yes	Yes
Eliminate redundancy due to MVDs	No	No	Yes
Preserves FDs	Yes	Maybe	Maybe
Preserves MVDs	Maybe	Maybe	Maybe

Normal Forms

- First Normal Form: each attribute is atomic
- Second Normal Form: No non-trivial FD has a left side that is a proper subset of a key
- Third Normal Form: just discussed it
- Fourth Normal Form: just discussed it
- Fifth Normal Form: outside the scope of this class
- Sixth Normal Form: different versions exist. One version developed for temporal databases
- Seventh Normal Form
 - just kidding 😊

Database Design Mantra

- “everything should depend on the key, the **whole** key, and **nothing but** the key”